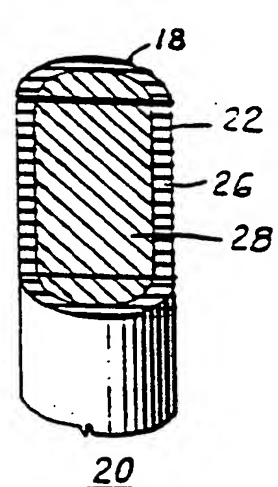


**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 5 :</b> <b>G01D 13/00, A46B 9/06</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 94/10539</b> <b>(43) International Publication Date:</b> 11 May 1994 (11.05.94)
<b>(21) International Application Number:</b> PCT/US93/10576 <b>(22) International Filing Date:</b> 3 November 1993 (03.11.93)  <b>(30) Priority data:</b> 971,784 5 November 1992 (05.11.92) US  <b>(60) Parent Application or Grant</b> (63) Related by Continuation US 971,784 (CON) Filed on 5 November 1992 (05.11.92)  <b>(71) Applicant (for all designated States except US):</b> THE GILLETTE COMPANY [US/US]; Prudential Tower Building, Boston, MA 02199 (US).		<b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> TSENG, Mingchih, M. [US/US]; 4 Partridge Drive, Hingham, MA 02043 (US). SWEENEY, Philip, J. [US/US]; 55 Baldwin Road, Brockton, MA 02402 (US).  <b>(74) Agents:</b> GALLOWAY, Peter, D. et al.; Ladas & Parry, 26 West 61st Street, New York, NY 10023 (US).  <b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE); OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> IMPROVED BRUSH FILAMENTS  <b>(57) Abstract</b> <p>The invention provides novel, improved wear indicating brush filaments and novel, improved coextrusion process for their manufacture. Essentially, the filaments of the present invention are coextruded filaments which include a longitudinal surface (22) providing a boundary about the cross-sectional area (24) of the filament and the longitudinal surface (22) and/or the cross-sectional area (24) presents a two-colored region (26, 28) adapted to provide a visual signal indicative of wear in response to filament use. The filaments can be natural or synthetic materials. In filaments of the present invention, the colored region (26, 28) provides an initial color or color intensity viewable to the user. As wear is produced by continuing use of the filaments, the intensity of the colored region (26, 28) changes to a point which signals the user that the filament no longer provides the requisite performance characteristics for effectively performing its assigned function.</p> <div data-bbox="1234 1176 1510 1659"></div> <div data-bbox="519 1743 1071 1848"><p>Best Available Copy</p></div>		

Express Mail No. EV723368319US

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TC	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

- 1 -

IMPROVED BRUSH FILAMENTSBACKGROUND OF THE INVENTION

## 1. The Field of the Invention

5 This invention relates to filaments  
(or fibers) for brushes. More precisely, this  
invention relates to novel, improved filaments  
for oral care brushes and to oral care brushes  
including the novel improved filaments.

## 2. Description of the Prior Art

10 Brushing the teeth is universally  
recommended as the most effective way to  
maintain oral hygiene. While there is  
disagreement as to the most suitable form of  
brushing, the toothbrush is acknowledged to be  
15 the most effective aid in cleaning the teeth.  
In turn, the cleaning effectiveness of a  
toothbrush is dependent on such factors as the  
brushing habits of the user, the frequency,  
intensity and duration of brushing and the  
20 quality of the brush filaments. There is  
considerable debate in the art relating to the  
features which provide a toothbrush having  
maximized cleaning effectiveness. These factors  
include the material, size, shape, strength and  
25 resiliency of the brush filament and the length,  
width and overall shape and area of the brushing  
surface. Other features affecting the cleaning

- 2 -

effectiveness of a toothbrush include the number of tufts (bundles of individual filaments), the number of rows of tufts and the arrangement of the tufts on the brush head. However there is  
5 general agreement in the art that wear is a crucial factor which can dramatically diminish the effectiveness of a toothbrush in maintaining oral hygiene. For example, the art recognizes and acknowledges that diminished effectiveness  
10 of a toothbrush by wear can result in increased plaque accumulation and increased risks to periodontium tissue.

The degree of wear of a toothbrush is primarily a function of the properties of the  
15 filament and the mechanical force applied to the brush during brushing. The degree of wear can also be accelerated to some extent by abrasive materials normally contained in dentifrices. Brush wear results in tearing, splaying,  
20 expansion and fraying of the filaments and a decrease in strength and resiliency of the filaments which is manifested by single filaments deviating from their original direction. Moreover, wear is manifested by a  
25 change in the overall shape and size of the brushing surface area and by changes in the texture of the filament. While toothbrush wear varies from user to user, studies indicate that the average toothbrush subject to average use  
30 has a useful effective life from about eight to twelve weeks. Thereafter, wear causes sufficient deterioration of the filaments to warrant replacement of the brush in order to assure continued maintenance of effective oral  
35 hygiene.

Unfortunately, toothbrushes are not usually replaced regularly and often times are

- 3 -

used far beyond their effective useful life. As mentioned, the dental profession has recommended replacement of toothbrushes after about three months of use. However, annual toothbrush

5 consumption figures indicate that toothbrush users replace their toothbrushes about once a year. The dental profession has made an earnest effort to educate the public about the need to assess the wear of a toothbrush being used to

10 determine if it should be discarded and replaced. However, these efforts have had limited success since the user has the responsibility to remember the condition of a toothbrush which should be discarded and to

15 remember to monitor and continually assess the condition of the toothbrush. Accordingly, a more effective approach is needed to provide reliable means to signal or warn a toothbrush user when a toothbrush has become sufficiently

20 affected by the wear that it should be discarded and replaced.

U.S. Patent No. 4,802,255 and Pending PCT Application Serial Number 92/04589, both incorporated herein by reference, describe brush

25 filaments, particularly toothbrush filaments which include a colorant which is adapted to provide a color intensity which can change in response to increased use of the filament to provide a signal indicative of filament wear. A

30 line of toothbrushes covered by the claims of these patents has been successfully marketed by Oral-B Laboratories, Redwood City, California under the Indicator <sup>®</sup> brand. In the manufacturing methods of these patents, an outer

35 color region is provided by ring dying monofilaments. In a ring dying process, the filament is contacted with a dye for a time

- 4 -

sufficient to at least color the outer surface and to also penetrate into a portion of the cross sectional area.

Through a careful investigation of the Indicator <sup>®</sup> products we have discovered two previously unknown shortcomings or problems with the product. First, dye penetration is not uniform at different depths along the annular circumference of the bristle. As a result, the darker regions on the outside wear first, while lighter blue persists for a considerable period of time. It would be desirable to have a darker region which is uniform in color density for a fixed depth along the annular circumference of the bristle ring. This would result in a line of clear demarkation of dyed sheath material versus undyed core filament material. The second problem relates to overall dye intensity. During the ring dyeing operation if a high level of dye concentration is used, the resulting bristles are darker; however, the dye material penetrates into the core at a much greater degree. Thus, it is difficult to produce a dark colored, ring dyed material with a thin, dark ring coating.

The following background patents also relate generally to the present invention:

U.S. Patent 2,328,998 to Radford, issued September 7, 1943 discloses an attrition product, e.g., toothbrush filament including a co-mingled abrasive material. Figures 3 and 4 disclose a composite filament including a non-abrasive core with a co-mingled abrasive material. Figure 8 shows a batch-wise apparatus for extruding the filament of Figures 3 and 4.

U.S. Patent 3,016,554 to Peterson, issued January 16, 1962 discloses a wire brush

- 5 -

filament on to which a plastic coating, e.g., nylon, is extruded as a vibration dampening coating. This bristle is then dip coated with a thin epoxy protective layer. The nylon layer is  
5 said to have a general thickness about one half the diameter of the wire core plus or minus 50% (see column 2, lines 67 to 69).

U.S. Patent 3,258,805 to Rossnan, issued July 5, 1966 discloses a wear indicating  
10 toothbrush bristle comprised of a nylon filament which is entirely encased within a coating of colored rubber (see column 1, lines 30 to 37). This bristle is said to produce an erasing, instead of grinding, action in cleaning the  
15 teeth. Also, it states that the wear on the tips of the bristles gives a visual indication of warning when the brush is ready to be discarded.

U.S. Patent 3,327,339, issued June 27,  
20 1967 and Re. No. 26,688, reissued October 14, 1969 to Lemelson disclose composite plastic filaments formed by extruding different polymers one over the other and each imparting a different useful characteristic to the composite  
25 filament (see column 1, lines 11 to 15). The core member is said to be preferably a synthetic textile filament such as nylon. The outer jacket layer is described as a flexible cellular plastic material (see column 2, lines 37 to 41  
30 and column 4, lines 74 through column 5, line 7). Figures 3 and 4 show an element comprising a core made from a more rigid material than the surrounding jacket which is made by simultaneously extruding both the core and  
35 jacket as a unitary rod or filament (see column 3, line 74 to column 4, line 20). The jacket is said to comprise the major portion of the

- 6 -

filament and is in the order of 0.005 inches to 0.030 inches or greater in outside diameter while the core element or thread is about 0.010 inches in diameter or less (see column 4, lines 5 70 to 74).

U.S. Patent 3,403,070 to Lewis, issued September 24, 1968, discloses a composite filament. These cellular foam filaments are said to be useful as bristles and mop fibers. 10 The filament comprises an unoriented polyolefin shell and an inner core of polyurethane foam. The shell is described as a coating which has a radius to the annular wall thickness ratio of at least 4 to 1. Furthermore, Applicants believe 15 this coated filament is not an extruded bristle due to the soft, foamy nature of the core material.

U.S. Patent 4,263,691 to Pakarnseree, issued April 28, 1981, discloses a toothbrush 20 bristle comprised of a stiff core made of a hard thermoplastic material such as nylon 6 or high density polyethylene and an outer elastomeric sheath. The sheath is said to be made of a soft thermoplastic such as ethylene vinyl acetate co- 25 polymer, polyethylene, poly-vinyl chloride, or natural or synthetic rubbers. Regarding thicknesses, it is disclosed that if the diameter of the core is  $d$  and the outer diameter of the sheath is  $D$ , then the ratio  $d : D$  can be 30 in the range of 1 : 1.01 to 1 : 2.5 (column 2, lines 10 to 13). Figure 4 illustrates a filament of material produced by continuous extrusion molding of a substance suitable for the core and a substance suitable for the sheath 35 of the bristle. The filament may be made into bristles with the stiff core exposed at the ends or with the ends of the stiff core covered by



- 7 -

the sheath (see column 2, lines 14 to 28 and Figure 7).

U.K. Patent Application, serial number 2,137,080, to Weihrauch, assigned to Coronet-  
5 Werk Heinrich Schlerf GmbH discloses plastic bristles or filaments for brushes which also change color in response to wear. The filaments disclosed in the U.K. Application are composite filaments and include a colored core completely  
10 surrounded by an outer cover material having a color different from the core color. The cover is injection molded directly onto the core. In the disclosed filaments, the core is a reinforcing element and is relatively hard and  
15 stiff to control the rigidity of the filament while the outer cover material is softer than the core material and is more susceptible to wear. In use, the cover material becomes worn in the area of the rounded end of the filament  
20 and peels or breaks off to expose the core color to signal that the brush should be discarded.

It is an object of the present invention to produce a composite toothbrush filament containing a colored outer sheath and a  
25 different colored inner core material which has a clear line of demarkation at a magnification of about 250X.

It is yet another object of the present invention to provide a wear indicating  
30 toothbrush filament with a greater degree of color intensity in the core and/or sheath.

It is another object of the present invention to produce striped wear indicating bristles as an alternative to ring dyed  
35 bristles.

It is yet another object of the present invention to provide a more efficient

- 8 -

method of producing wear indicating bristles.

Still yet another object of the present invention to provide color-change type, wear indicating bristles with a more desirable  
5 color change.

These and other objects will be evident by the following:

BRIEF SUMMARY OF THE INVENTION

The invention provides novel, improved  
10 wear indicating brush filaments and novel, improved coextrusion process for their manufacture. Essentially, the filaments of the present invention are coextruded filaments which include a longitudinal surface providing a  
15 boundary about the cross-sectional area of the filament and the longitudinal surface and/or the cross-sectional area presents a two colored region adapted to provide a visual signal indicative of wear in response to filament use.  
20 The filaments can be natural or synthetic materials. In filaments of the present invention, the colored region provides an initial color or color intensity viewable to the user. As wear is produced by continuing use of  
25 the filaments, the intensity of the colored region changes to a point which signals the user that the filament no longer provides the requisite performance characteristics for effectively performing its assigned function.

30 BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagrammatic perspective view of a representative toothbrush including the novel filaments of the invention.

FIGS. 2 and 3 are magnified,  
35 diagrammatic views of novel filaments of the invention taken along line 2-2 of FIG. 1 with a portion of the filaments broken away.

- 9 -

FIGS. 4 and 5 are magnified, diagrammatic cross-sectional views of filaments of FIGS. 2 and 3 respectively.

FIGS. 6 and 7 are photomicrographic cross-sectional views of filaments according to the present invention and ring-dyed filaments according to U.S. Patent 4,802,255, respectively. Magnification is about 250X.

FIG. 8 is a cross-sectional side view of an extrusion dye head suitable to produce the filaments of the present invention.

FIG. 9 is a head-on cross-sectional view of the dye head of FIG. 8 taken along line 40 ——— 40.

FIG. 10 is a head-on cross-sectional view of the dye head of FIG. 8 taken along line 41 ——— 41.

#### DETAILED DESCRIPTION OF THE PRESENT INVENTION

As used herein, the term "core" refers to the central portion of a bristle material as examined at the cross-section. The Figures designate the core as 28.

As used herein, the term "sheath" refers to an outer coating layer(s) over the core material 28 on a bristle. The Figures designate sheaths as 26 and 32. Preferably the sheath provides a uniform coating around the entire perimeter of the core. However, also encompassed in the present invention, are bristles wherein the sheath material only partially covers the perimeter, forming a stripe(s) or spiral(s) down the length of the bristle material. Preferably, the sheath region extends inwardly into a portion of the overall cross-sectional area of the filament for a distance equivalent to about 10 percent or less of the filament diameter.

- 12 -

4) of region 26 into cross-sectional area 24. Preferably, colored region 26 provides an annular ring having a substantially uniform depth 30. Most preferably, this depth should not vary more than 20%, preferably not more than 10%, from the mean depth around the annular ring. In either event, colored core region 28 occupies the remaining portion of the overall cross-sectional area defined by maximum diameter 24. Accordingly, sheath color region 26 provides an initial color intensity or color which is predominant and more conspicuous to the toothbrush user while the color intensity of core region 28 is less conspicuous. However, in response to wear produced by progressive brushing, the region 26 wears, and after sufficient wear the perceived change in color of the bristle to that of core region 28 signals the user that the filament is no longer effective.

As was mentioned previously, colorants can be added to the core and/or sheath of the present invention. These colorants can be dyes or pigments. Preferred dyes providing region 26 are food dyes or certified food colorants. Suitable food dyes or colorants are F D & C red No. 40, erythrosine (F D & C red No. 3), brilliant blue F C F (F D & C blue No. 1), indigotine (F D & C blue No. 2), tartroazine (F D & C yellow No. 5), sunset yellow F C F (F D & C yellow No. 6) and fast green F C F (F D & C green No. 3). The thermal stability of these materials is less of a concern due to the relatively short contact times of the present process. Suitable pigments for use as colorants include any food grade pigments, such as titanium dioxide, metal flake pigments and

- 13 -

nacreous pigments which impart a pearl luster. For a further discussion of colorants see Juran, Modern Plastics Encyclopedia, Vol. 67 (11), pp 167-175 (October 1990).

5           The core and sheath of the present  
bristles can be extruded from a variety of  
polymeric materials. Preferably these materials  
are polyamides, acetal resins, such as Delrin  
900 (mfg. DuPont) and polyesters, such as Rynite  
10 530, Rynite 545, Rynite 555 (mfg. by DuPont).  
Most preferably, the core and sheath are  
extrusions of Nylon 616, preferably Zytel 158L,  
Zytel 330 or Zytel ST901, all manufactured by  
DuPont. Preferably the core and sheath are of  
15 the same material. Optionally, other additives  
known to those skilled in the art may be added  
to the bristle material such as polyethylene  
glycol, antioxidants, plasticizers, etc.

          The thickness of the sheath material  
20 30 is coordinated with the wear characteristics  
of the filament so that the change in color  
provides a reliable indication of filament  
deterioration due to 12 weeks of typical wear.  
In general, with nylon core/nylon sheath  
25 filaments, suitable coordination between the  
sheath thickness and colorant fastness (if any)  
and filament wear characteristics can be  
achieved if region 26 (FIG. 2) has an average  
depth equal to about 10% or less of the filament  
30 diameter. Preferably the average depth 30 is  
equal to about 5% or less of the diameter,  
especially when dealing with nylon/nylon  
filaments with a dye such as indigotine, also  
known as F D C No. 2.

35           Filaments of FIGS. 2 and 4 may also be  
prepared with combinations of colored regions,  
each colored region providing a unique color

- 14 -

intensity having substantially the same resistance to change in response to wear and use wherein the alternative each dye may provide a color intensity having a different resistance to change in response to wear and use. For example, as in Figs. 3 and 5, a filament may be prepared with two colored sheath regions in which one colored layer 26 is more resistant to change in response to wear and use than the other 32. In this case the color of the outer region 26a will abrade in response to wear and use to provide a color which will be predominantly provided by the more resistant colored region.

Another embodiment is a bristle wherein the sheath material only partially covers the perimeter. When a stationary die head is used, this would result in a bristle which has stripe(s) down the length of the bristle. When a rotating die head is used, this would result in a bristle which has spiral(s) down the length of the bristles. Optionally, a plurality of different colored bristle stripe(s) or spiral(s) may be utilized for greater visual impact.

The bristle filaments of the present invention are produced by a coextrusion process. For a general discussion of coextrusion technology see Levy, Plastics Extrusion Technology Handbook, Industrial Press Inc., pages 168-188 (1981), incorporated herein by reference. FIG. 8 shows a schematic cross-sectional view of a coextrusion filament die 41. The die head unit comprises the core orifice 42, the sheath orifice 35, the sheath material inlet manifolds 48 and 48', and the core inlet manifold 47. Typically the entire

- 15 -

die is heated. The best condition for making coextruded bristles is to have the melt viscosity of both resins, core 43 and sheath 44, as close together as possible at the point of stream combination. This results in the minimum disturbance at the interface between the two materials and results in a clear line of demarkation along the cross-sectional area at a magnification of about 250 X. A sharp interface between the core and the sheath can also be produced by adjusting contact time, material grades or by using different resins. This can clearly be seen in photomicrograph FIG. 6.

In a preferred coextrusion unit according to the present invention, the system includes a coextrusion die as shown in FIG. 8 which includes a cross head sheath die which rotates about the axis of extrusion 49. The set up also includes two 3/4" Haake extruders, a cooling trough, a puller and a winder. Each extruder is equipped with a screw with a L/D ratio of 25:1 and a compression ratio of 3:1 and a 5 HP motor capable of operating at screw speeds and processing temperatures of up to 250 rpm and 500°C., respectively. Each extruder incorporates six temperature controllers to control processing temperatures. The extrusion die has a core orifice 42 without exit diameter of 0.080 inches and a sheath orifice 35 with an exit diameter of 0.085 inches. The core melt 43 is uncolored nylon (Zytel 158L) and the sheath melt 44 is a 1% indigotines/nylon blend. Both melts and the die 31 are maintained at a temperature of 190° - 230°C. The core extruder operates at 20 rpm, 608 psi; and 5263 m.gm torque. The sheath extruder operated at 2 rpm, 1827 psi and 1416 m.gm torque.

- 16 -

The screw speeds are optimized to minimize interfacial shear stresses. The particular connections between these physical properties would be apparent to one skilled in the art. Furthermore, a full production line in this area will also include additional processing hardware for orienting (draw process), annealing and finishing.

Finally, to produce a 0.008" filament from the above extrusion die (orifice equals 0.085") the draw down ratio is set at 10.625:1. By employing this technique the thickness of the outer sheath layer 26 ranges from 0.0001" to 0.0004", and can be produced at a diameter of 0.0002" plus or minus 20%, typically plus or minus 10%. This highly uniform coating layer thickness is achieved by optimizing the ratio of the two extruder speeds and cross-head design. For example, to extrude the above-mentioned 0.008" nylon bristles with a layer thickness of 0.0002", the ratio of the screw speed (sheath/core) is set at 10:1. Increasing the ratio results in a thinner outer layer up to a point when the outer layer becomes discontinuous, while increasing both screw speeds increases dye pressure and ends up degrading polymeric material. On the other hand reducing both screw speeds lowers the die pressure but reduces input. A discontinuous outer layer would of course appear as a stripe down the side of the bristle. Optionally a gear pump can be added to meter the materials more precisely.

As mentioned previously, the die may incorporate a rotating sheath orifice 45 to produce a more uniform coating on the filament. The technique involves rotating the outer frame



- 17 -

(sheath frame) of a coextrusion die of from about 0.5 to about 50 RPM's depending on the rheological properties of the polymer used for forming the outer layer. When coating nylon  
5 bristles like the ones described above, a rotational speed of from about 0.5 to about 10.0 is utilized, most preferably from about 0.5 to about 5.0. A chain sprocket is added to the dye for the frame rotation. During the filament  
10 coextrusion the sprocket is rotated at a set speed controlled by a motor with a chain drive. This is depicted as the rotation arrow 39 in FIG. 10. This frame rotation helps disperse the melt stream in the outer layer, thereby  
15 producing a uniform ultra thin layer. When the sheath screw speeds are metered back, discontinuous sheath coatings are produced. On a rotating die, this results in a swirling stripe around the filament similar to a barber's  
20 pole. Either of these concepts could also be used as a wear indicating bristle.

Applicants consider equivalent embodiments to be part of the present invention. For example, noncircular bristles, such as  
25 square, hexagonal, or other geometric cross-sections, are also contemplated by the present invention. The invention and manner of making and using the invention will be more fully appreciated from the following non-limiting,  
30 illustrative examples:

- 18 -

SELECTED EXAMPLES

		<u>Overall</u> <u>Diameter</u> <u>(inches)</u>	<u>Sheath</u> <u>Thickness</u> <u>(inches)</u>	<u>Core</u> <u>Material</u>	<u>Sheath</u> <u>Material</u>
5	1)	.008	.003	Zytel 158	2% blue, Zytel 158, 1% TiO <sub>2</sub>
	2)	.008	.003	Zytel 158	3% blue, Zytel 158, 1% TiO <sub>2</sub>
10	3)	.008	.003	Zytel 158L	Zytel 158L (blue)
	4)	.012	.001	Zytel 330	Zytel ST901 (Black)
15	5)	.008	.003	nylon	EVA (black)
	6)	.008	.003	Zytel 158L	EVA (blue)

- 19 -

C L A I M S

1. A filament comprised of a first colored core region and a second colored sheath region which extends along at least a portion of the outer surface of the filament, wherein said second colored sheath region is bound to said first colored region to form a clear line of demarkation along the cross-section area at a magnification of about 250 X, and wherein, upon use, a change in the second color intensity is indicative of filament wear.
2. A filament according to claim 1, wherein the sheath region is comprised of nylon and the core region is comprised of nylon.
3. A filament according to claim 2, wherein the filament is a toothbrush bristle and the second colored sheath region extends along at least a portion of the longitudinal surface defining the maximum width of the cross-sectional area.
4. A filament of claim 3, where the second colored sheath region extends along the entire longitudinal surface.
5. A filament of claim 4, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 10 percent or less of the filament diameter.
6. A filament of claim 5, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter.
7. A filament of claim 5, where the colored region provides an annular ring extending inwardly for a substantially uniform distance.

- 20 -

8. A filament of claim 7, wherein at least one colored region is provided by a colorant.

5 9. A filament of claim 8, where the colorant is a food dye.

10. A filament of claim 9, wherein the sheath region and core regions are applied by coextrusion.

10 11. A toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of a first colored core region and a second colored sheath region which extends along  
15 at least a portion of the outer surface of the filament and further extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter, wherein said second  
20 colored sheath region is bound to said first colored region, and wherein the sheath region and the core region are comprised of nylon.

12. A toothbrush of claim 11, where the second colored sheath region extends along the  
25 entire longitudinal surface.

13. A toothbrush of claim 12, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less  
30 of the filament diameter.

14. A toothbrush of claim 12, where the second colored region provides an annular ring extending inwardly for a substantially uniform distance.

35 15. A toothbrush of claim 14, wherein at least one colored region is provided by a colorant.

- 21 -

16. A toothbrush of claim 15, where the colorant is a food dye.

17. A toothbrush of claim 16, wherein the sheath region and core regions are applied by  
5 coextrusion.

18. In a method for visually determining when the filaments of a toothbrush have worn to the point when the toothbrush should be discarded comprised (1) brushing the teeth with  
10 a toothbrush containing at least one bristle filament which has a colored outer region which wears off in relation to brush wear, and (2) examining said filament for a reduction in color intensity to a degree indicative of toothbrush  
15 wear wherein said improvement comprises utilizing a bristle filament comprised of a first colored core region and a second colored sheath region which extends along at least a portion of the outer surface of the filament,  
20 wherein said second colored sheath region is bound to said first colored region to form a clear line of demarkation along the cross-section area at a magnification of about 250 X, and wherein, upon use, a change in the second  
25 color intensity is indicative of filament wear.

19. A method according to claim 18, wherein the sheath region is comprised of nylon and the core region is comprised of nylon.

20. A method according to claim 19,  
30 wherein the second colored sheath region extends along at least a portion of the longitudinal surface defining the maximum width of the cross-sectional area.

21. A method of claim 20, where the second  
35 colored sheath region extends along the entire longitudinal surface.

22. A method of claim 21, where the second

- 22 -

colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 10 percent or less of the filament diameter.

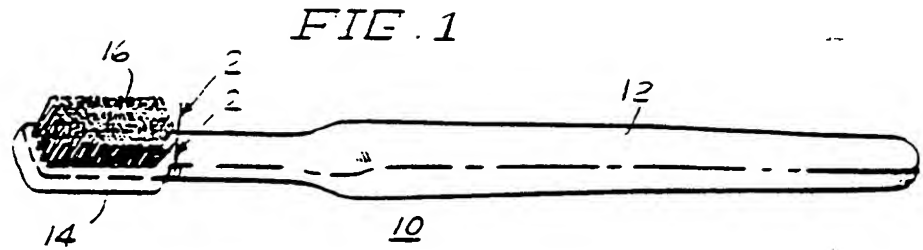
5 23. A method of claim 22, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter.

10 24. A method of claim 22, where the colored region provides an annular ring extending inwardly for a substantially uniform distance.

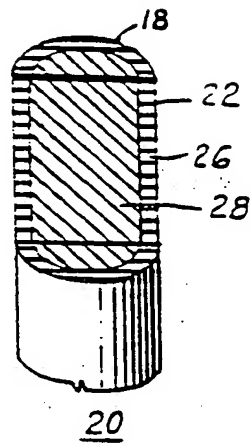
25. A method of claim 24, wherein at least  
15 one colored region is provided by a colorant.

26. A method of claim 25, where the colorant is a food dye.

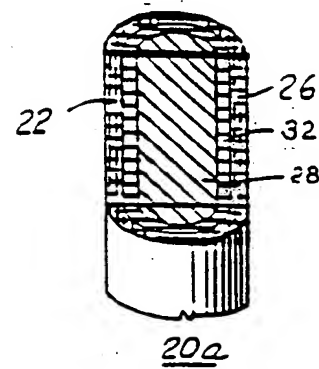
27. A method of claim 26, wherein the sheath region and core regions are applied by  
20 coextrusion.



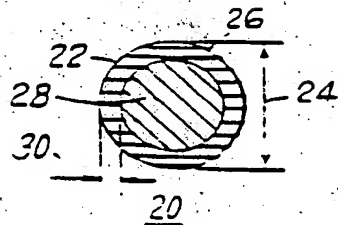
*FIG. 2*



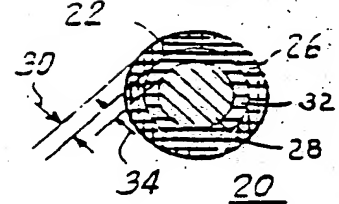
*FIG. 3*



*FIG. 4*



*FIG. 5*



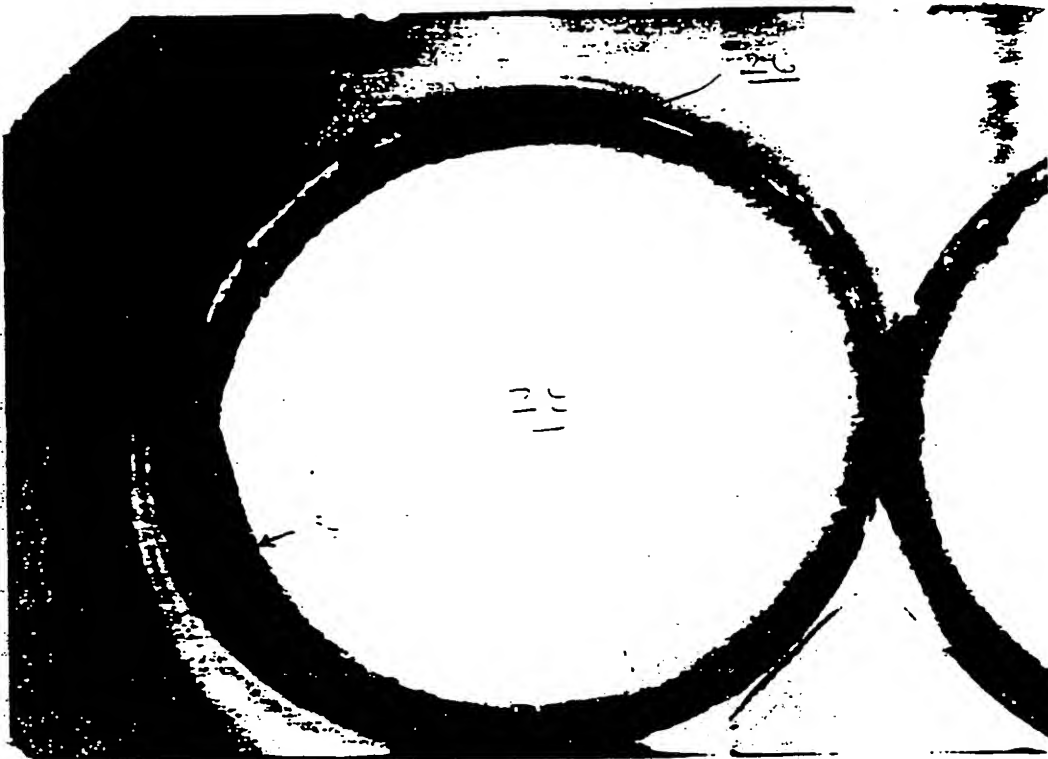


Fig 6

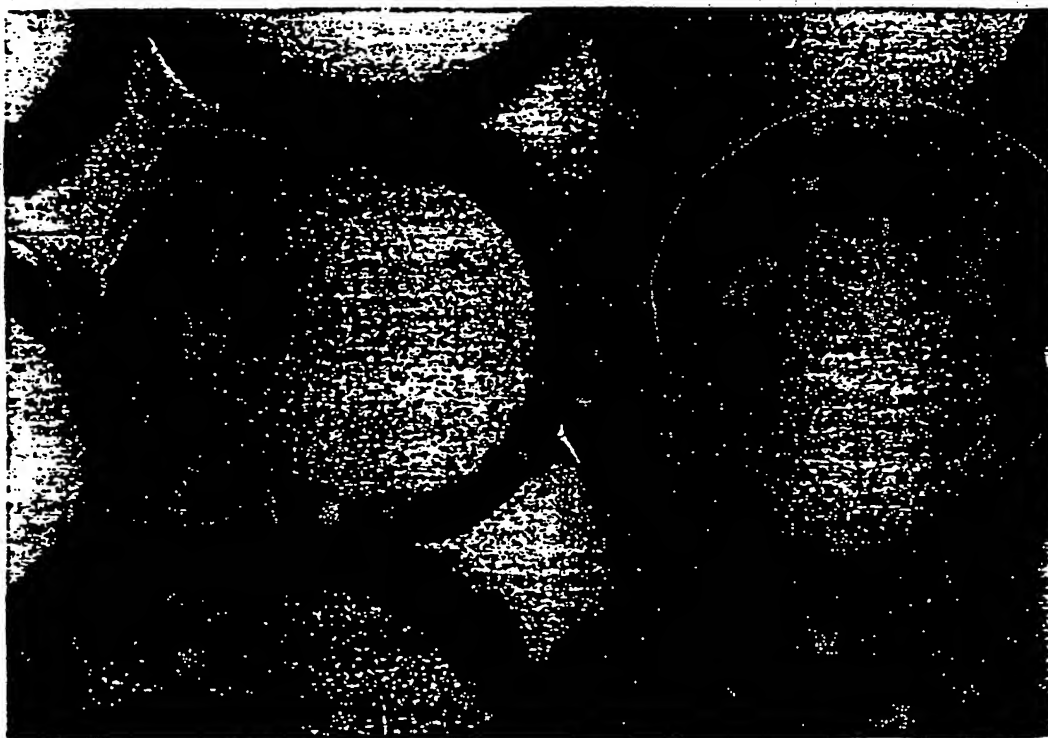


Fig 7



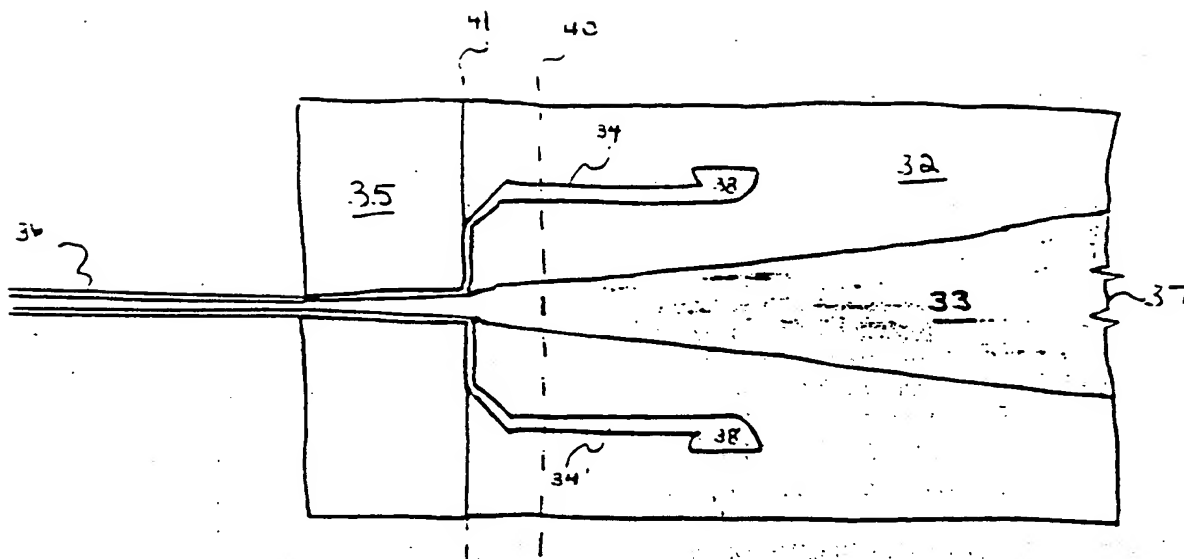


FIG. 8

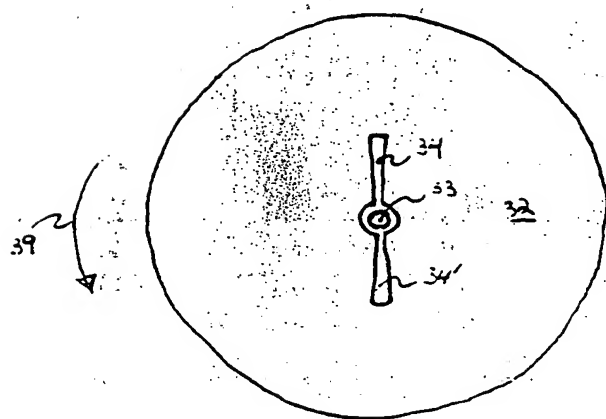


FIG. 10

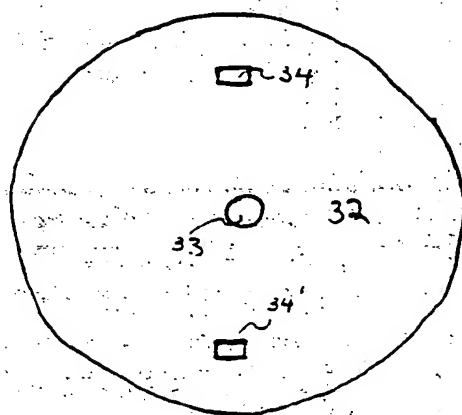


FIG. 9

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/10576

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :IPC(5) G01D 13/00; A46B 9/06

US CL :U.S. Cl. 116/200,208; 015/167.1

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : U.S. Cl. 116/200,201,208; 015/167.1,167.2,207.2; 132/308,309,310,311,321,329

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, Re26688 (LEMELSON) 14 OCTOBER 1969, See the entire document, particularly col. 5, lines 2-16.	1-27
Y	GB, A, 2,137,080 (WEIHRAUCH) 03 OCTOBER 1984, pg. 1, line 100 through pg. 3, line 51.	1-27
Y	US, A, 3,258,805 (ROSSNAN) 05 JULY 1966, see the entire document, particularly col. 1, lines 30-37.	1,21
---		-----
X		20,22-27
A	US, A, 2,328,998 (RADFORD) 07 SEPTEMBER 1943, see entire document.	1-27

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	A*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

12 January 1994

Date of mailing of the international search report

JAN 14 1994

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

W. MORRIS WORTH

Facsimile No. NOT APPLICABLE

Telephone No. (703) 308-1309

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/10576

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 3,016,554 (PETERSON) 16 JANUARY 1962, see the entire document.	1-27
A	US, A, 3,327,339 (LEMELSON) 27 JUNE 1967, see the entire document.	1-27
A	US, A, 3,403,070 (LEWIS) 24 SEPTEMBER 1968, see the entire document.	1 -27
A	US, A, 4,263,691(PARKARNSEREE) 28 APRIL 1981, see the entire document.	1-27
A	US, A, 4,623,495 (DEGOIX ET AL) 18 NOVEMBER 1986, see the entire document.	1-27
A	US, A, 4,802,255 (BREUER ET AL) 07 FEBRUARY 1989, see the entire document.	1-27
A	Modern Plastics Encyclopedia, Volume 67, No. 11, October 1990, pages 167-1755.	1-27
A	Levy, Plastics Extrusion Technology Handdbook, Industrial Press, pages 168-188.	1-27
A	Reifenhauser GmbH, Product Brochure, Monofilament Lines (1989).	1-27

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> :

G01D 13/00, A46B 9/06

AI

(11) International Publication Number:

WO 94/10539

(43) International Publication Date:

11 May 1994 (11.05.94)

(21) International Application Number: PCT/US93/10576

(22) International Filing Date: 3 November 1993 (03.11.93)

(30) Priority data:

971,784

5 November 1992 (05.11.92) US

(60) Parent Application or Grant

(63) Related by Continuation

US

Filed on

971,784 (CON)

5 November 1992 (05.11.92)

(71) Applicant (for all designated States except US): THE GILLETTE COMPANY [US/US]; Prudential Tower Building, Boston, MA 02199 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): TSENG, Mingchih, M. [US/US]; 4 Partridge Drive, Hingham, MA 02043 (US). SWEENEY, Philip, J. [US/US]; 55 Baldwin Road, Brockton, MA 02402 (US).

(74) Agents: GALLOWAY, Peter, D. et al.; Ladas &amp; Parry, 26 West 61st Street, New York, NY 10023 (US).

(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

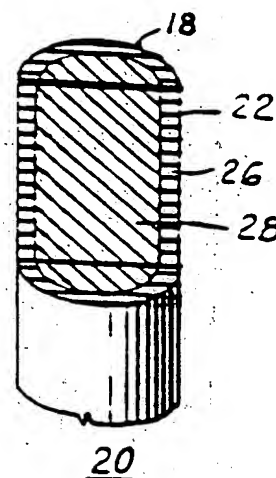
Published

With international search report.

(54) Title: IMPROVED BRUSH FILAMENTS

(57) Abstract

The invention provides novel, improved wear indicating brush filaments and novel, improved coextrusion process for their manufacture. Essentially, the filaments of the present invention are coextruded filaments which include a longitudinal surface (22) providing a boundary about the cross-sectional area (24) of the filament and the longitudinal surface (22) and/or the cross-sectional area (24) presents a two-colored region (26, 28) adapted to provide a visual signal indicative of wear in response to filament use. The filaments can be natural or synthetic materials. In filaments of the present invention, the colored region (26, 28) provides an initial color or color intensity viewable to the user. As wear is produced by continuing use of the filaments, the intensity of the colored region (26, 28) changes to a point which signals the user that the filament no longer provides the requisite performance characteristics for effectively performing its assigned function.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CC	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

- 1 -

IMPROVED BRUSH FILAMENTSBACKGROUND OF THE INVENTION

## 1. The Field of the Invention

5 This invention relates to filaments  
(or fibers) for brushes. More precisely, this  
invention relates to novel, improved filaments  
for oral care brushes and to oral care brushes  
including the novel improved filaments.

## 2. Description of the Prior Art

10 Brushing the teeth is universally  
recommended as the most effective way to  
maintain oral hygiene. While there is  
disagreement as to the most suitable form of  
brushing, the toothbrush is acknowledged to be  
15 the most effective aid in cleaning the teeth.  
In turn, the cleaning effectiveness of a  
toothbrush is dependent on such factors as the  
brushing habits of the user, the frequency,  
intensity and duration of brushing and the  
20 quality of the brush filaments. There is  
considerable debate in the art relating to the  
features which provide a toothbrush having  
maximized cleaning effectiveness. These factors  
include the material, size, shape, strength and  
25 resiliency of the brush filament and the length,  
width and overall shape and area of the brushing  
surface. Other features affecting the cleaning

- 2 -

effectiveness of a toothbrush include the number of tufts (bundles of individual filaments), the number of rows of tufts and the arrangement of the tufts on the brush head. However there is  
5 general agreement in the art that wear is a crucial factor which can dramatically diminish the effectiveness of a toothbrush in maintaining oral hygiene. For example, the art recognizes and acknowledges that diminished effectiveness  
10 of a toothbrush by wear can result in increased plaque accumulation and increased risks to periodontium tissue.

The degree of wear of a toothbrush is primarily a function of the properties of the  
15 filament and the mechanical force applied to the brush during brushing. The degree of wear can also be accelerated to some extent by abrasive materials normally contained in dentifrices. Brush wear results in tearing, splaying,  
20 expansion and fraying of the filaments and a decrease in strength and resiliency of the filaments which is manifested by single filaments deviating from their original direction. Moreover, wear is manifested by a  
25 change in the overall shape and size of the brushing surface area and by changes in the texture of the filament. While toothbrush wear varies from user to user, studies indicate that the average toothbrush subject to average use  
30 has a useful effective life from about eight to twelve weeks. Thereafter, wear causes sufficient deterioration of the filaments to warrant replacement of the brush in order to assure continued maintenance of effective oral  
35 hygiene.

Unfortunately, toothbrushes are not usually replaced regularly and often times are

- 3 -

used far beyond their effective useful life. As mentioned, the dental profession has recommended replacement of toothbrushes after about three months of use. However, annual toothbrush  
5 consumption figures indicate that toothbrush users replace their toothbrushes about once a year. The dental profession has made an earnest effort to educate the public about the need to assess the wear of a toothbrush being used to  
10 determine if it should be discarded and replaced. However, these efforts have had limited success since the user has the responsibility to remember the condition of a toothbrush which should be discarded and to  
15 remember to monitor and continually assess the condition of the toothbrush. Accordingly, a more effective approach is needed to provide reliable means to signal or warn a toothbrush user when a toothbrush has become sufficiently  
20 affected by the wear that it should be discarded and replaced.

U.S. Patent No. 4,802,255 and Pending PCT Application Serial Number 92/04589, both incorporated herein by reference, describe brush  
25 filaments, particularly toothbrush filaments which include a colorant which is adapted to provide a color intensity which can change in response to increased use of the filament to provide a signal indicative of filament wear. A  
30 line of toothbrushes covered by the claims of these patents has been successfully marketed by Oral-B Laboratories, Redwood City, California under the Indicator <sup>®</sup> brand. In the manufacturing methods of these patents, an outer  
35 color region is provided by ring dying monofilaments. In a ring dying process, the filament is contacted with a dye for a time



- 4 -

sufficient to at least color the outer surface and to also penetrate into a portion of the cross sectional area.

Through a careful investigation of the Indicator <sup>®</sup> products we have discovered two previously unknown shortcomings or problems with the product. First, dye penetration is not uniform at different depths along the annular circumference of the bristle. As a result, the darker regions on the outside wear first, while lighter blue persists for a considerable period of time. It would be desirable to have a darker region which is uniform in color density for a fixed depth along the annular circumference of the bristle ring. This would result in a line of clear demarkation of dyed sheath material versus undyed core filament material. The second problem relates to overall dye intensity. During the ring dyeing operation if a high level of dye concentration is used, the resulting bristles are darker; however, the dye material penetrates into the core at a much greater degree. Thus, it is difficult to produce a dark colored, ring dyed material with a thin, dark ring coating.

The following background patents also relate generally to the present invention:

U.S. Patent 2,328,998 to Radford, issued September 7, 1943 discloses an attrition product, e.g., toothbrush filament including a co-mingled abrasive material. Figures 3 and 4 disclose a composite filament including a non-abrasive core with a co-mingled abrasive material. Figure 8 shows a batch-wise apparatus for extruding the filament of Figures 3 and 4.

U.S. Patent 3,016,554 to Peterson, issued January 16, 1962 discloses a wire brush

- 5 -

filament on to which a plastic coating, e.g., nylon, is extruded as a vibration dampening coating. This bristle is then dip coated with a thin epoxy protective layer. The nylon layer is  
5 said to have a general thickness about one half the diameter of the wire core plus or minus 50% (see column 2, lines 67 to 69).

U.S. Patent 3,258,805 to Rossnan, issued July 5, 1966 discloses a wear indicating  
10 toothbrush bristle comprised of a nylon filament which is entirely encased within a coating of colored rubber (see column 1, lines 30 to 37). This bristle is said to produce an erasing, instead of grinding, action in cleaning the  
15 teeth. Also, it states that the wear on the tips of the bristles gives a visual indication of warning when the brush is ready to be discarded.

U.S. Patent 3,327,339, issued June 27, 1967 and Re. No. 26,688, reissued October 14, 1969 to Lemelson disclose composite plastic  
20 filaments formed by extruding different polymers one over the other and each imparting a different useful characteristic to the composite filament (see column 1, lines 11 to 15). The  
25 core member is said to be preferably a synthetic textile filament such as nylon. The outer jacket layer is described as a flexible cellular plastic material (see column 2, lines 37 to 41 and column 4, lines 74 through column 5, line  
30 7). Figures 3 and 4 show an element comprising a core made from a more rigid material than the surrounding jacket which is made by simultaneously extruding both the core and  
35 jacket as a unitary rod or filament (see column 3, line 74 to column 4, line 20). The jacket is said to comprise the major portion of the

- 6 -

filament and is in the order of 0.005 inches to 0.030 inches or greater in outside diameter while the core element or thread is about 0.010 inches in diameter or less (see column 4, lines 5 70 to 74).

U.S. Patent 3,403,070 to Lewis, issued September 24, 1968, discloses a composite filament. These cellular foam filaments are said to be useful as bristles and mop fibers. 10 The filament comprises an unoriented polyolefin shell and an inner core of polyurethane foam. The shell is described as a coating which has a radius to the annular wall thickness ratio of at least 4 to 1. Furthermore, Applicants believe 15 this coated filament is not an extruded bristle due to the soft, foamy nature of the core material.

U.S. Patent 4,263,691 to Pakarnseree, issued April 28, 1981, discloses a toothbrush 20 bristle comprised of a stiff core made of a hard thermoplastic material such as nylon 6 or high density polyethylene and an outer elastomeric sheath. The sheath is said to be made of a soft thermoplastic such as ethylene vinyl acetate co- 25 polymer, polyethylene, poly-vinyl chloride, or natural or synthetic rubbers. Regarding thicknesses, it is disclosed that if the diameter of the core is  $d$  and the outer diameter of the sheath is  $D$ , then the ratio  $d : D$  can be 30 in the range of 1 : 1.01 to 1 : 2.5 (column 2, lines 10 to 13). Figure 4 illustrates a filament of material produced by continuous extrusion molding of a substance suitable for the core and a substance suitable for the sheath 35 of the bristle. The filament may be made into bristles with the stiff core exposed at the ends or with the ends of the stiff core covered by

- 7 -

the sheath (see column 2, lines 14 to 28 and Figure 7).

U.K. Patent Application, serial number 2,137,080, to Weihrauch, assigned to Coronet-  
5 Werk Heinrich Schlerf GmbH discloses plastic bristles or filaments for brushes which also change color in response to wear. The filaments disclosed in the U.K. Application are composite filaments and include a colored core completely  
10 surrounded by an outer cover material having a color different from the core color. The cover is injection molded directly onto the core. In the disclosed filaments, the core is a reinforcing element and is relatively hard and  
15 stiff to control the rigidity of the filament while the outer cover material is softer than the core material and is more susceptible to wear. In use, the cover material becomes worn in the area of the rounded end of the filament and peels or breaks off to expose the core color  
20 to signal that the brush should be discarded.

It is an object of the present invention to produce a composite toothbrush filament containing a colored outer sheath and a  
25 different colored inner core material which has a clear line of demarkation at a magnification of about 250X.

It is yet another object of the present invention to provide a wear indicating  
30 toothbrush filament with a greater degree of color intensity in the core and/or sheath.

It is another object of the present invention to produce striped wear indicating bristles as an alternative to ring dyed  
35 bristles.

It is yet another object of the present invention to provide a more efficient

- 8 -

method of producing wear indicating bristles.

Still yet another object of the present invention to provide color-change type, wear indicating bristles with a more desirable color change.

These and other objects will be evident by the following:

#### BRIEF SUMMARY OF THE INVENTION

The invention provides novel, improved wear indicating brush filaments and novel, improved coextrusion process for their manufacture. Essentially, the filaments of the present invention are coextruded filaments which include a longitudinal surface providing a boundary about the cross-sectional area of the filament and the longitudinal surface and/or the cross-sectional area presents a two colored region adapted to provide a visual signal indicative of wear in response to filament use. The filaments can be natural or synthetic materials. In filaments of the present invention, the colored region provides an initial color or color intensity viewable to the user. As wear is produced by continuing use of the filaments, the intensity of the colored region changes to a point which signals the user that the filament no longer provides the requisite performance characteristics for effectively performing its assigned function.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagrammatic perspective view of a representative toothbrush including the novel filaments of the invention.

FIGS. 2 and 3 are magnified, diagrammatic views of novel filaments of the invention taken along line 2-2 of FIG. 1 with a portion of the filaments broken away.

- 9 -

FIGS. 4 and 5 are magnified, diagrammatic cross-sectional views of filaments of FIGS. 2 and 3 respectively.

5 FIGS. 6 and 7 are photomicrographic cross-sectional views of filaments according to the present invention and ring-dyed filaments according to U.S. Patent 4,802,255, respectively. Magnification is about 250X.

10 FIG. 8 is a cross-sectional side view of an extrusion dye head suitable to produce the filaments of the present invention.

FIG. 9 is a head-on cross-sectional view of the dye head of FIG. 8 taken along line 40 ——— 40.

15 FIG. 10 is a head-on cross-sectional view of the dye head of FIG. 8 taken along line 41 ——— 41.

#### DETAILED DESCRIPTION OF THE PRESENT INVENTION

20 As used herein, the term "core" refers to the central portion of a bristle material as examined at the cross-section. The Figures designate the core as 28.

25 As used herein, the term "sheath" refers to an outer coating layer(s) over the core material 28 on a bristle. The Figures designate sheaths as 26 and 32. Preferably the sheath provides a uniform coating around the entire perimeter of the core. However, also encompassed in the present invention, are  
30 bristles wherein the sheath material only partially covers the perimeter, forming a stripe(s) or spiral(s) down the length of the bristle material. Preferably, the sheath region extends inwardly into a portion of the overall  
35 cross-sectional area of the filament for a distance equivalent to about 10 percent or less of the filament diameter.

- 10 -

Although bristles have been produced by coextrusion in the past, these processes suffered from at least two shortcomings. First, the bristle materials were made of radically different core and sheath materials and/or processing conditions which resulted in severe failure of the sheath upon wear. Secondly, the coating materials were too thick. Applicants have alleviated these problems by coextruding the bristles through the use of a coextruding die in combination with shorter contact times, preferably through the additional use of a rotating coextruder die head.

In a preferred toothbrush embodiment of the present invention, the novel filaments are included in toothbrushes of the type shown in FIG. 1. The toothbrush shall have at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments according to the present invention. As shown there, the toothbrush 10 includes a handle 12 and a head 14 having a plurality of tufts 16. Tufts 16 comprise a plurality of individual filaments 2 and, tufts 16 are securely affixed in or attached to head 14 in manners known to the art. The configuration of head 14 and tufts 16 can vary and may be oval, convex curved, concave curved, flat trim, serrated "V" or any other desired configuration. Additionally, the configuration, shape and size of handle 12 or tufts 16 can vary and the axes of handle 12 and head 14 may be on the same or a different plane. The longitudinal and cross-sectional dimensions of the filaments of the invention and the profile of the filament ends can vary and the stiffness, resiliency and shape of the filament end can vary. Preferred

- 11 -

filaments of the present invention have substantially uniform longitudinal lengths between about 3 to about 6 cm., substantially uniform cross-sectional dimensions 24 between  
5 about 100 to about 350 microns and have smooth or rounded tips or ends.

FIGS. 2 and 4 diagrammatically represent a most preferred filament of the present invention. As shown in the Figures,  
10 filament 20 includes longitudinal surface 22 which terminates at a tip or end 18 and defines the boundary of the cross-sectional area 24 of the filament. Cross-sectional area includes two colored regions 26 and 28 which have different  
15 color or different intensities. As used herein the term "colored region" can mean a core or sheath material which is colored by a colorant prior to being extruded. It can also mean a core or sheath which is made of a plastic with a  
20 unique color. Furthermore, transparent or translucent regions are also considered to be "colored" as they are at least of different optical appearance than a truly pigmented or dyed region, as is also the case for a  
25 sheath/core varying degrees of color intensity. However, it is important that the core 28 and sheath 26 materials have visually different color, e.g., white core and blue sheath, transparent core and red sheath, light red core  
30 and dark red sheath, etc. Preferred bristles according to the present invention comprise a white or transparent core and a dyed or pigmented sheath.

Typically, a colored region 26 extends  
35 at least about surface 22 or preferably extends from surface 22 inwardly into a portion of cross-sectional area 24 to a distance 30 (FIG.



- 12 -

4) of region 26 into cross-sectional area 24. Preferably, colored region 26 provides an annular ring having a substantially uniform depth 30. Most preferably, this depth should not vary more than 20%, preferably not more than 10%, from the mean depth around the annular ring. In either event, colored core region 28 occupies the remaining portion of the overall cross-sectional area defined by maximum diameter 24. Accordingly, sheath color region 26 provides an initial color intensity or color which is predominant and more conspicuous to the toothbrush user while the color intensity of core region 28 is less conspicuous. However, in response to wear produced by progressive brushing, the region 26 wears, and after sufficient wear the perceived change in color of the bristle to that of core region 28 signals the user that the filament is no longer effective.

As was mentioned previously, colorants can be added to the core and/or sheath of the present invention. These colorants can be dyes or pigments. Preferred dyes providing region 26 are food dyes or certified food colorants. Suitable food dyes or colorants are F D & C red No. 40, erythrosine (F D & C red No. 3), brilliant blue F C F (F D & C blue No. 1), indigotine (F D & C blue No. 2), tartroazine (F D & C yellow No. 5), sunset yellow F C F (F D & C yellow No. 6) and fast green F C F (F D & C green No. 3). The thermal stability of these materials is less of a concern due to the relatively short contact times of the present process. Suitable pigments for use as colorants include any food grade pigments, such as titanium dioxide, metal flake pigments and

- 13 -

nacreous pigments which impart a pearl luster. For a further discussion of colorants see Juran, Modern Plastics Encyclopedia, Vol. 67 (11), pp 167-175 (October 1990).

5           The core and sheath of the present  
bristles can be extruded from a variety of  
polymeric materials. Preferably these materials  
are polyamides, acetal resins, such as Delrin  
900 (mfg. DuPont) and polyesters, such as Rynite  
10 530, Rynite 545, Rynite 555 (mfg. by DuPont).  
Most preferably, the core and sheath are  
extrusions of Nylon 616, preferably Zytel 158L,  
Zytel 330 or Zytel ST901, all manufactured by  
DuPont. Preferably the core and sheath are of  
15 the same material. Optionally, other additives  
known to those skilled in the art may be added  
to the bristle material such as polyethylene  
glycol, antioxidants, plasticizers, etc.

          The thickness of the sheath material  
20 30 is coordinated with the wear characteristics  
of the filament so that the change in color  
provides a reliable indication of filament  
deterioration due to 12 weeks of typical wear.  
In general, with nylon core/nylon sheath  
25 filaments, suitable coordination between the  
sheath thickness and colorant fastness (if any)  
and filament wear characteristics can be  
achieved if region 26 (FIG. 2) has an average  
depth equal to about 10% or less of the filament  
30 diameter. Preferably the average depth 30 is  
equal to about 5% or less of the diameter,  
especially when dealing with nylon/nylon  
filaments with a dye such as indigotine, also  
known as F D C No. 2.

35           Filaments of FIGS. 2 and 4 may also be  
prepared with combinations of colored regions,  
each colored region providing a unique color

- 14 -

intensity having substantially the same resistance to change in response to wear and use wherein the alternative each dye may provide a color intensity having a different resistance to change in response to wear and use. For example, as in Figs. 3 and 5, a filament may be prepared with two colored sheath regions in which one colored layer 26 is more resistant to change in response to wear and use than the other 32. In this case the color of the outer region 26a will abrade in response to wear and use to provide a color which will be predominantly provided by the more resistant colored region.

Another embodiment is a bristle wherein the sheath material only partially covers the perimeter. When a stationary die head is used, this would result in a bristle which has stripe(s) down the length of the bristle. When a rotating die head is used, this would result in a bristle which has spiral(s) down the length of the bristles. Optionally, a plurality of different colored bristle stripe(s) or spiral(s) may be utilized for greater visual impact.

The bristle filaments of the present invention are produced by a coextrusion process. For a general discussion of coextrusion technology see Levy, Plastics Extrusion Technology Handbook, Industrial Press Inc., pages 168-188 (1981), incorporated herein by reference. FIG. 8 shows a schematic cross-sectional view of a coextrusion filament die 41. The die head unit comprises the core orifice 42, the sheath orifice 35, the sheath material inlet manifolds 48 and 48', and the core inlet manifold 47. Typically the entire

- 15 -

die is heated. The best condition for making coextruded bristles is to have the melt viscosity of both resins, core 43 and sheath 44, as close together as possible at the point of stream combination. This results in the minimum disturbance at the interface between the two materials and results in a clear line of demarkation along the cross-sectional area at a magnification of about 250 X. A sharp interface between the core and the sheath can also be produced by adjusting contact time, material grades or by using different resins. This can clearly be seen in photomicrograph FIG. 6.

In a preferred coextrusion unit according to the present invention, the system includes a coextrusion die as shown in FIG. 8 which includes a cross head sheath die which rotates about the axis of extrusion 49. The set up also includes two 3/4" Haake extruders, a cooling trough, a puller and a winder. Each extruder is equipped with a screw with a L/D ratio of 25:1 and a compression ratio of 3:1 and a 5 HP motor capable of operating at screw speeds and processing temperatures of up to 250 rpm and 500°C., respectively. Each extruder incorporates six temperature controllers to control processing temperatures. The extrusion die has a core orifice 42 without exit diameter of 0.080 inches and a sheath orifice 35 with an exit diameter of 0.085 inches. The core melt 43 is uncolored nylon (Zytel 158L) and the sheath melt 44 is a 1% indigotines/nylon blend. Both melts and the die 31 are maintained at a temperature of 190° - 230°C. The core extruder operates at 20 rpm, 608 psi; and 5263 m.gm torque. The sheath extruder operated at 2 rpm, 1827 psi and 1416 m.gm torque.

- 16 -

The screw speeds are optimized to minimize interfacial shear stresses. The particular connections between these physical properties would be apparent to one skilled in the art. Furthermore, a full production line in this area will also include additional processing hardware for orienting (draw process), annealing and finishing.

Finally, to produce a 0.008" filament from the above extrusion dye (orifice equals 0.085") the draw down ratio is set at 10.625:1. By employing this technique the thickness of the outer sheath layer 26 ranges from 0.0001" to 0.0004", and can be produced at a diameter of 0.0002" plus or minus 20%, typically plus or minus 10%. This highly uniform coating layer thickness is achieved by optimizing the ratio of the two extruder speeds and cross-head design. For example, to extrude the above-mentioned 0.008" nylon bristles with a layer thickness of 0.0002", the ratio of the screw speed (sheath/core) is set at 10:1. Increasing the ratio results in a thinner outer layer up to a point when the outer layer becomes discontinuous, while increasing both screw speeds increases dye pressure and ends up degrading polymeric material. On the other hand reducing both screw speeds lowers the die pressure but reduces input. A discontinuous outer layer would of course appear as a stripe down the side of the bristle. Optionally a gear pump can be added to meter the materials more precisely.

As mentioned previously, the die may incorporate a rotating sheath orifice 45 to produce a more uniform coating on the filament. The technique involves rotating the outer frame

- 17 -

(sheath frame) of a coextrusion die of from about 0.5 to about 50 RPM's depending on the rheological properties of the polymer used for forming the outer layer. When coating nylon  
5 bristles like the ones described above, a rotational speed of from about 0.5 to about 10.0 is utilized, most preferably from about 0.5 to about 5.0. A chain sprocket is added to the dye for the frame rotation. During the filament  
10 coextrusion the sprocket is rotated at a set speed controlled by a motor with a chain drive. This is depicted as the rotation arrow 39 in FIG. 10. This frame rotation helps disperse the melt stream in the outer layer, thereby  
15 producing a uniform ultra thin layer. When the sheath screw speeds are metered back, discontinuous sheath coatings are produced. On a rotating die, this results in a swirling stripe around the filament similar to a barber's  
20 pole. Either of these concepts could also be used as a wear indicating bristle.

Applicants consider equivalent embodiments to be part of the present invention. For example, noncircular bristles, such as  
25 square, hexagonal, or other geometric cross-sections, are also contemplated by the present invention. The invention and manner of making and using the invention will be more fully appreciated from the following non-limiting,  
30 illustrative examples:

- 18 -

SELECTED EXAMPLES

		<u>Overall Diameter (inches)</u>	<u>Sheath Thickness (inches)</u>	<u>Core Material</u>	<u>Sheath Material</u>
5	1)	.008	.003	Zytel 158	2% blue, Zytel 158, 1% TiO <sub>2</sub>
	2)	.008	.003	Zytel 158	3% blue, Zytel 158, 1% TiO <sub>2</sub>
10	3)	.008	.003	Zytel 158L	Zytel 158L (blue)
	4)	.012	.001	Zytel 330	Zytel ST901 (Black)
15	5)	.008	.003	nylon	EVA (black)
	6)	.008	.003	Zytel 158L	EVA (blue)

- 19 -

C L A I M S

1. A filament comprised of a first colored core region and a second colored sheath region which extends along at least a portion of the outer surface of the filament, wherein said second colored sheath region is bound to said first colored region to form a clear line of demarkation along the cross-section area at a magnification of about 250 X, and wherein, upon use, a change in the second color intensity is indicative of filament wear.
2. A filament according to claim 1, wherein the sheath region is comprised of nylon and the core region is comprised of nylon.
3. A filament according to claim 2, wherein the filament is a toothbrush bristle and the second colored sheath region extends along at least a portion of the longitudinal surface defining the maximum width of the cross-sectional area.
4. A filament of claim 3, where the second colored sheath region extends along the entire longitudinal surface.
5. A filament of claim 4, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 10 percent or less of the filament diameter.
6. A filament of claim 5, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter.
7. A filament of claim 5, where the colored region provides an annular ring extending inwardly for a substantially uniform distance.



- 20 -

8. A filament of claim 7, wherein at least one colored region is provided by a colorant.

5 9. A filament of claim 8, where the colorant is a food dye.

10. A filament of claim 9, wherein the sheath region and core regions are applied by coextrusion.

10 11. A toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of a first colored core region and a second colored sheath region which extends along at least a portion of the outer surface of the filament and further extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter, wherein said second colored sheath region is bound to said first colored region, and wherein the sheath region and the core region are comprised of nylon.

20 12. A toothbrush of claim 11, where the second colored sheath region extends along the entire longitudinal surface.

25 13. A toothbrush of claim 12, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter.

30 14. A toothbrush of claim 12, where the second colored region provides an annular ring extending inwardly for a substantially uniform distance.

35 15. A toothbrush of claim 14, wherein at least one colored region is provided by a colorant.

- 21 -

16. A toothbrush of claim 15, where the colorant is a food dye.

17. A toothbrush of claim 16, wherein the sheath region and core regions are applied by  
5 coextrusion.

18. In a method for visually determining when the filaments of a toothbrush have worn to the point when the toothbrush should be discarded comprised (1) brushing the teeth with  
10 a toothbrush containing at least one bristle filament which has a colored outer region which wears off in relation to brush wear, and (2) examining said filament for a reduction in color intensity to a degree indicative of toothbrush  
15 wear wherein said improvement comprises utilizing a bristle filament comprised of a first colored core region and a second colored sheath region which extends along at least a portion of the outer surface of the filament,  
20 wherein said second colored sheath region is bound to said first colored region to form a clear line of demarkation along the cross-section area at a magnification of about 250 X, and wherein, upon use, a change in the second  
25 color intensity is indicative of filament wear.

19. A method according to claim 18, wherein the sheath region is comprised of nylon and the core region is comprised of nylon.

20. A method according to claim 19,  
30 wherein the second colored sheath region extends along at least a portion of the longitudinal surface defining the maximum width of the cross-sectional area.

21. A method of claim 20, where the second  
35 colored sheath region extends along the entire longitudinal surface.

22. A method of claim 21, where the second

- 22 -

colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 10 percent or less of the filament diameter.

5     23.         A method of claim 22, where the second colored sheath region extends inwardly into a portion of the cross-sectional area for a distance equivalent to about 5 percent or less of the filament diameter.

10    24.         A method of claim 22, where the colored region provides an annular ring extending inwardly for a substantially uniform distance.

15    25.         A method of claim 24, wherein at least one colored region is provided by a colorant.

26.         A method of claim 25, where the colorant is a food dye.

20    27.         A method of claim 26, wherein the sheath region and core regions are applied by coextrusion.

FIG-1

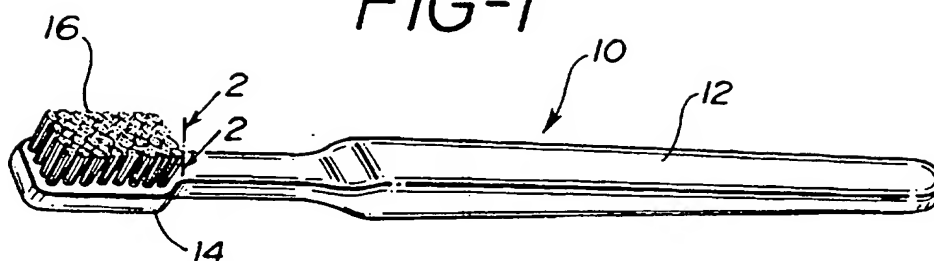


FIG-2

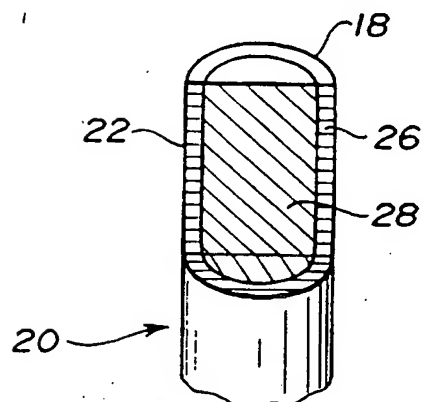


FIG-3

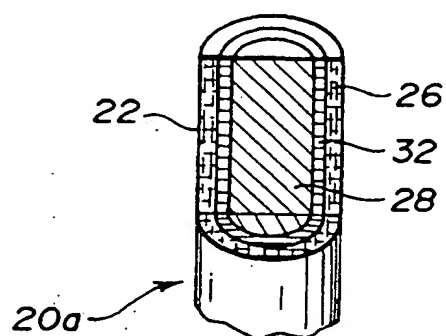


FIG-4

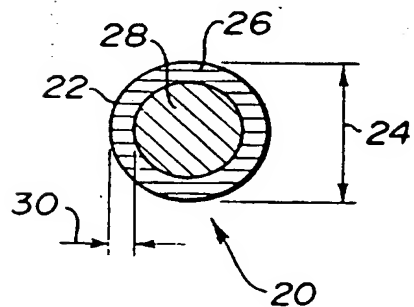
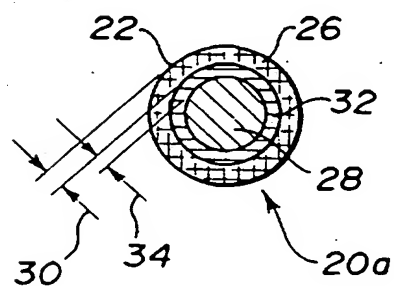
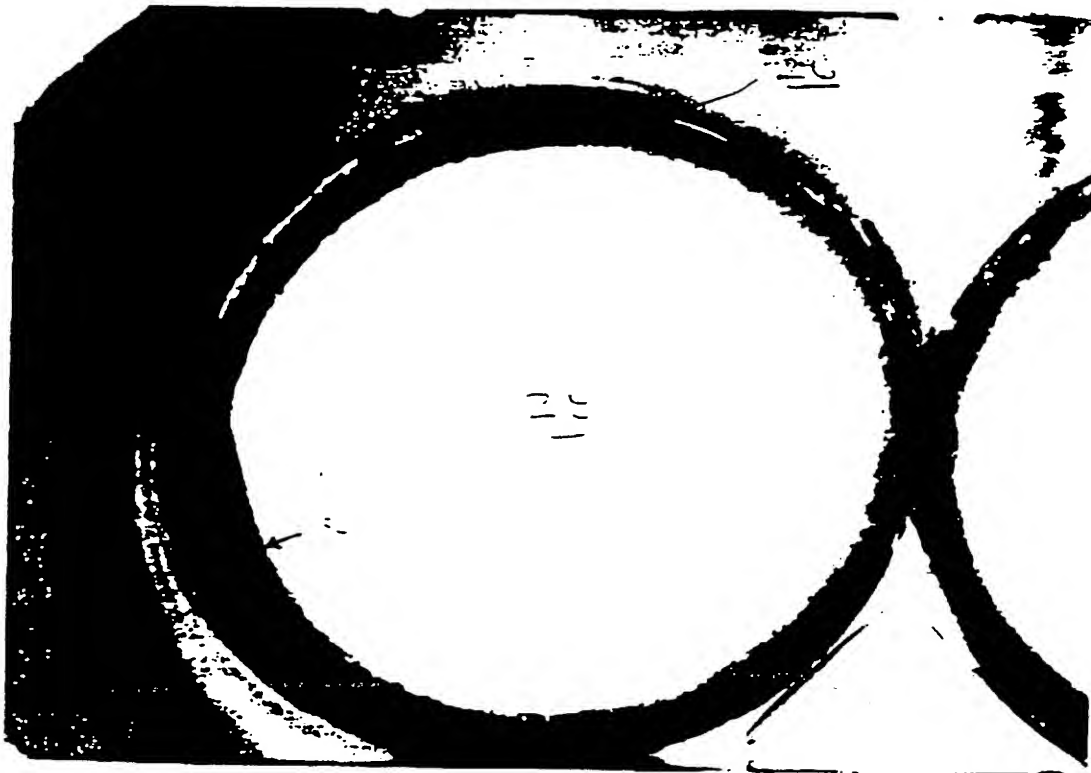


FIG-5



RECTIFIED SHEET (RULE 91)



2

Fig 6

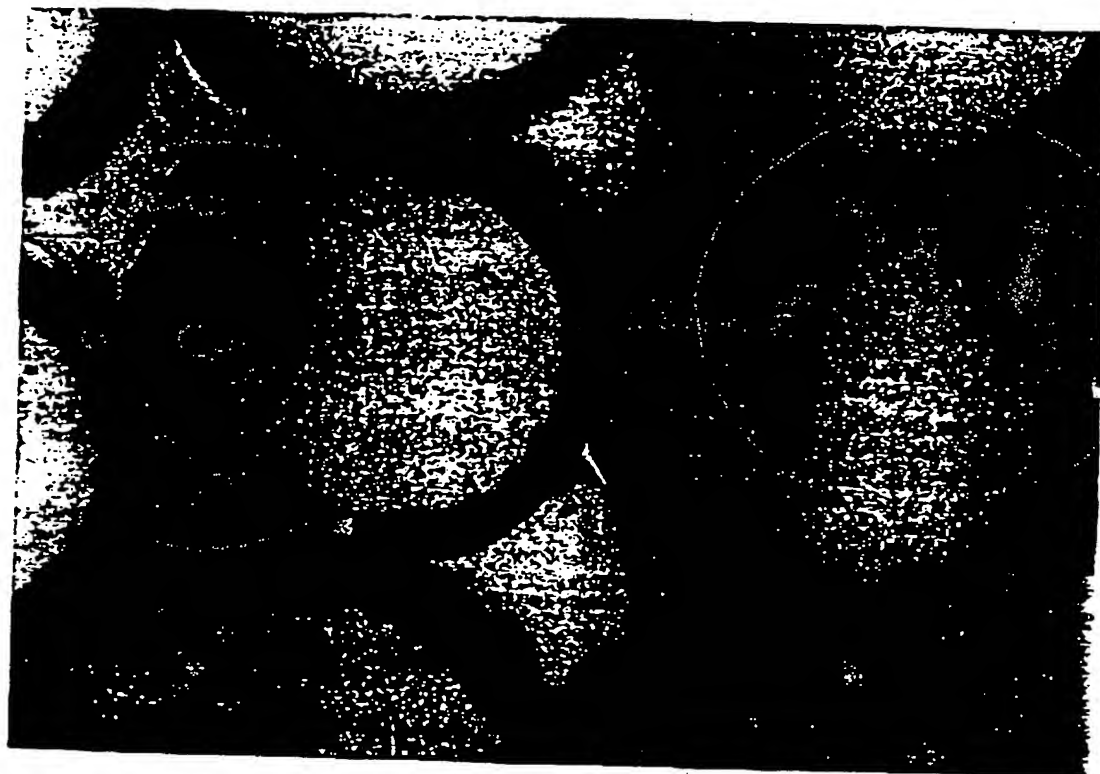


Fig 7

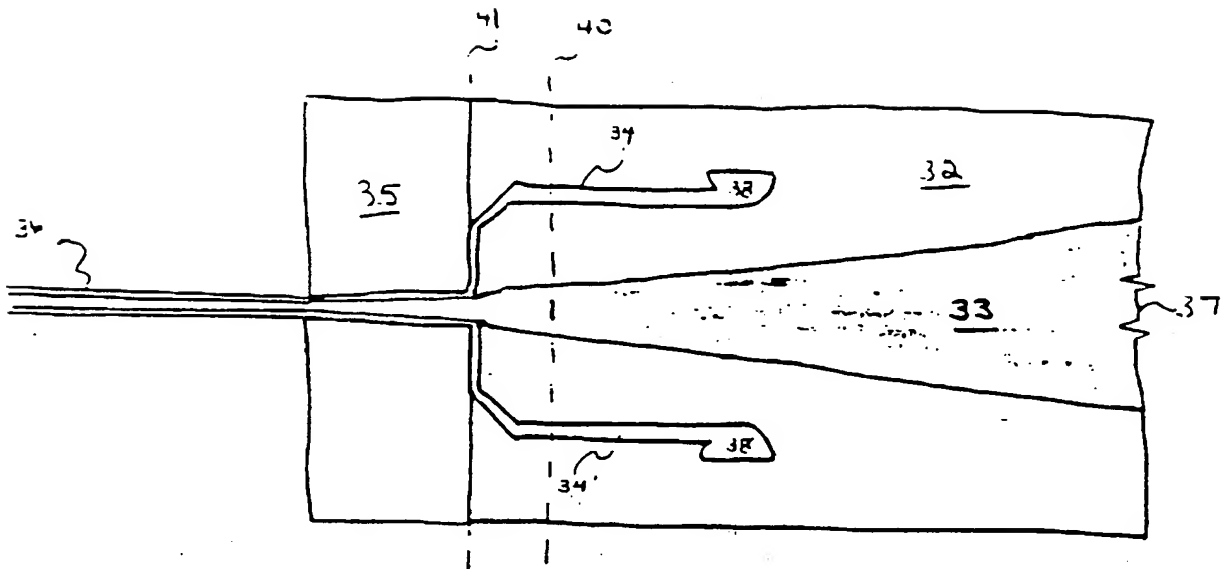


FIG 8

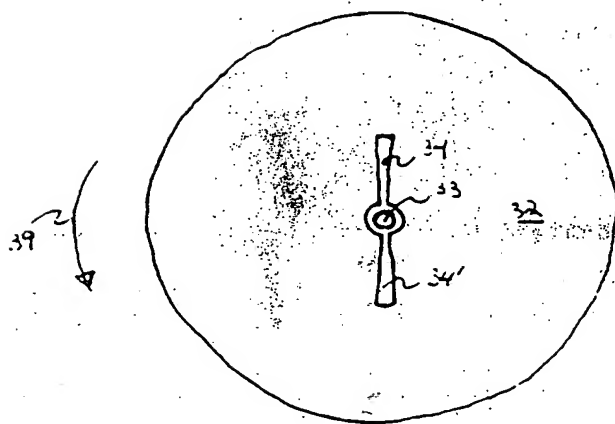


FIG 10

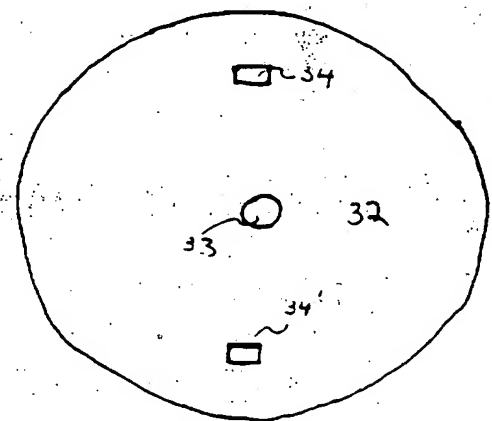


FIG 9

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/10576

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :IPC(5) G01D 13/00; A46B 9/06  
US CL :U.S. Cl. 116/200,208; 015/167.1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : U.S. Cl. 116/200,201,208; 015/167.1,167.2,207.2; 132/308,309,310,311,321,329

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, Re26688 (LEMELSON) 14 OCTOBER 1969, See the entire document, particularly col. 5, lines 2-16.	1-27
Y	GB, A, 2,137,080 (WEIHRAUCH) 03 OCTOBER 1984, pg. 1, line 100 through pg. 3, line 51.	1-27
Y --- X	US, A, 3,258,805 (ROSSNAN) 05 JULY 1966, see the entire document, particularly col. 1, lines 30-37.	1,21 ----- 20,22-27
A	US, A, 2,328,998 (RADFORD) 07 SEPTEMBER 1943, see entire document.	1-27

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

12 January 1994

Date of mailing of the international search report

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized officer  
*Sheela Jany For*  
W. MORRIS WORTH

Telephone No. (703) 308-1309

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/10576

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US, A, 3,016,554 (PETERSON) 16 JANUARY 1962, see the entire document.	1-27
A	US, A, 3,327,339 (LEMELSON) 27 JUNE 1967, see the entire document.	1-27
A	US, A, 3,403,070 (LEWIS) 24 SEPTEMBER 1968, see the entire document.	1-27
A	US, A, 4,263,691 (PARKARNSEREE) 28 APRIL 1981, see the entire document.	1-27
A	US, A, 4,623,495 (DEGOIX ET AL) 18 NOVEMBER 1986, see the entire document.	1-27
A	US, A, 4,802,255 (BREUER ET AL) 07 FEBRUARY 1989, see the entire document.	1-27
A	Modern Plastics Encyclopedia, Volume 67, No. 11, October 1990, pages 167-1755.	1-27
A	Levy, Plastics Extrusion Technology Handdbook, Industrial Press, pages 168-188.	1-27
A	Reifenhauser GmbH, Product Brochure, Monofilament Lines (1989).	1-27

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ BLACK BORDERS

☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☒ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☒ SKEWED/SLANTED IMAGES

☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**